Preliminary Classification:

Proposed Class:

Subclass:

NOTE: "All applicants are requested to include a preliminary classification on newly filed patent applications. The preliminary classification, preferably class and subclass designations, should be identified in the upper right-hand corner of the letter of transmittal accompanying the application papers, for example 'Proposed Class 2, subclass 129.' " M.P.E.P. § 601, 7th ed.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application **Commissioner for Patents** P.O. Box 1450 Alexandria VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Muraleedharan G. Nair

WARNING: 37 C.F.R. § 1.41(a)(1) points out:

"(a) A patent is applied for in the name or names of the actual inventor or inventors.

"(1) The inventorship of a nonprovisional application is that inventorship set forth in the oath or declaration as prescribed by § 1.63, except as provided for in § 1.53(d)(4) and § 1.63(d). If an oath or declaration as prescribed by § 1.63 is not filed during the pendency of a nonprovisional application, the inventorship is that inventorship set forth in the application papers filed pursuant to § 1.53(b), unless a petition under this paragraph accompanied by the fee set forth in § 1.17(i) is filed supplying or changing the name or names of the inventor or inventors." For (title):

METHOD AND COMPOSITIONS FOR PRODUCING BERRY DERIVED PRODUCTS

EXPRESS MAILING UNDER 37 C.F.R. § 1.10*

(Express Mail label number is mandatory.) (Express Mail certification is optional.)

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date <u>April 9, 2004</u>, in an envelope addressed to the Commissioner _, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. __ER 575563009 US

<u>Jessica R. House</u>

(type or print name of person mailing paper)

Signature of person certifying

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

*WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

> "Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

> > (New Application Transmittal [4-1]—page 1 of 15)

1. Type of Application

This new application is for a(n)

(check one applicable item below)

X	Original (nonprovisional)
	Design
	☐ Plant
WARNING	Do not use this transmittal for a completion in the U.S. of an International Application under 35 U.S.C. § 371(c)(4), unless the International Application is being filed as a divisional, continuation or continuation-in-part application.
WARNING	: Do not use this transmittal for the filing of a provisional application.
TF.	one of the following 3 items apply, then complete and attach ADDED PAGES FOR NEW APPLICATION PANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED and a NOTIFICATION PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION.
	Divisional.
X	Continuation.
	Continuation-in-part (C-I-P).
Danasi	of Diantic Application (2) (05 til 0 0 00 til 0 1

Benefit of Prior U.S. Application(s) (35 U.S.C. §§ 119(e), 120, or 121)

NOTE: "A nonprovisional application or international application designating the United States of America may claim an invention disclosed in one or more prior-filed copending nonprovisional applications or international applications designating the United States of America. In order for an application to claim the benefit of a prior-filed copending nonprovisional application or international application designating the United States of America, each prior-filed application must name as an inventor at least one inventor named in the later-filed application and disclose the named inventor's invention claimed in at least one claim of the later-filed application in the manner provided by the first paragraph of 35 U.S.C. 112. In addition, each prior-filed application must be:

- (i) An international application entitled to a filing date in accordance with PCT Article 11 and designating the United States of America; or
 - (ii) Complete as set forth in § 1.51(b); or
- (iii) Entitled to a filing date as set forth in § 1.53(b) or § 1.53(d) and include the basic filing fee set forth in § 1.16; or
- (iv) Entitled to a filing date as set forth in § 1.53(b) and have paid therein the processing and retention fee set forth in § 1.21(f) within the time period set forth in § 1.53(f).

37 C.F.R. § 1.78(a)(1).

WARNING: If an application claims the benefit of the filing date of an earlier filed application under 35 U.S.C. §§ 120, 121 or 365(c), the 20-year term of that application will be based upon the filing date of the earliest U.S. application that the application makes reference to under 35 U.S.C. §§ 120, 121 or 365(c). (35 U.S.C. § 154(a)(2) does not take into account, for the determination of the patent term, any application on which priority is claimed under 35 U.S.C. §§ 119, 365(a) or 365(b).) For a c-i-p application, applicant should review whether any claim in the patent that will issue is supported by an earlier application and, if not, the applicant should consider canceling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach. See Notice of April 14, 1995, 60 Fed. Reg. 20,195, at 20,205.

WARNING: 37 C.F.R. § 1.78(a)(2) deals with the time in which the claim for the benefit of an earlier filing date must be made and states:

"(2)(i) Except for a continued prosecution application filed under § 1.53(d), any nonprovisional application or international application designating the United States of America claiming the benefit of one or more prior-filed copending nonprovisional applications or international applications designating the United States of America must contain or be amended to contain a reference to each such prior-filed application, identifying it by application number (consisting of the series code and serial number) or international application number and international filing date and indicating the relationship of the applications. Cross references to other related applications may be made when appropriate (see § 1.14).

- (ii) This reference must be submitted during the pendency of the later-filed application. If the later-filed application is an application filed under 35 U.S.C. 111(a), this reference must also be submitted within the later of four months from the actual filing date of the later-filed application or sixteen months from the filing date of the prior-filed application. If the later-filed application is a nonprovisional application which entered the national stage from an international application after compliance with 35 U.S.C. 371, this reference must also be submitted within the later of four months from the date on which the national stage commenced under 35 U.S.C. 371(b) or (f) in the later-filed international application or sixteen months from the filing date of the prior-filed application. These time periods are not extendable. Except as provided in paragraph (a)(3) of this section, the failure to timely submit the reference required by 35 U.S.C. 120 and paragraph (a)(2)(i) of this section is considered a waiver of any benefit under 35 U.S.C. 120, 121, or 365(c) to such prior-filed application. The time periods in this paragraph do not apply if the later-filed application is:
 - (A) An application for a design patent;
 - (B) An application filed under 35 U.S.C. 111(a) before November 29, 2000; or
- (C) A nonprovisional application which entered the national stage after compliance with 35 U.S.C. 371 from an international application filed under 35 U.S.C. 363 before November 29, 2000.
- (iii) If the later-filed application is a nonprovisional application, the reference required by this paragraph must be included in an application data sheet (§ 1.76), or the specification must contain or be amended to contain such reference in the first sentence following the title.
- (iv) The request for a continued prosecution application under § 1.53(d) is the specific reference required by 35 U.S.C. 120 to the prior-filed application. The identification of an application by application number under this section is the identification of every application assigned that application number necessary for a specific reference required by 35 U.S.C. 120 to every such application assigned that application number."
- NOTE: If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., or benefit of a prior provisional application is claimed, then check the following item and complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICA-TION(S) CLAIMED.
 - The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. Papers Enclosed

- A. Required for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application
 - 19 Pages of specification
 - _Pages of claims
 - 6 Sheets of drawing

WARNING: DO NOT submit original drawings. A high quality copy of the drawings should be supplied when filing a patent application. The drawings that are submitted to the Office must be on strong, white, smooth, and non-shiny paper and meet the standards according to § 1.84. If corrections to the drawings are necessary, they should be made to the original drawing and a high-quality copy of the corrected original drawing then submitted to the Office. Only one copy is required or desired. For comments on proposed then-new 37 C.F.R. § 1.84, see Notice of March 9, 1988 (1990 O.G. 57-62).

(New Application Transmittal [4-1]-page 3 of 15)

NOTE: "Identification of drawings. Identifying indicia, if provided, should include the title of the invention, inventor's name and application number, or docket number (if any) if an application number has not been assigned to the application. If this information is provided, it must be placed on the front of each sheet and centered within the top margin." (complete the following, if applicable) ☐ The enclosed drawing(s) are photograph(s). NOTE: 37 C.F.R. 1.84 "(b) Photographs. "(1) Black and white. Photographs, including photocopies of photographs, are not ordinarily permitted in utility and design patent applications. The Office will accept photographs in utility and design patent applications, however, if photographs are the only practicable medium for illustrating the claimed invention. For example, photographs or photomicrographs of: electrophoresis gels, blots (e.g., immunological, western, Southern, and northern), auto radiographs, cell cultures (stained and unstained), histological tissue cross sections (stained and unstained), animals, plants, in vivo imaging, thin layer chromatography plates, crystalline structures, and, in a design patent application, omamental effects, are acceptable. If the subject matter of the application admits of illustration by a drawing, the examiner may require a drawing in place of the photograph. The photographs must be of sufficient quality so that all details in the photographs are reproducible in the printed patent. "(2) Color photographs. Color photographs will be accepted in utility and design patent applications if the conditions for accepting color drawings and black and white photographs have been satisfied. See paragraphs (a)(2) and (b)(1) of this section." The enclosed drawing(s) are in color. Three (3) sets of color drawings and a "PETITION TO ACCEPT COLOR DRAWING(S)" are attached. 37 C.F.R. §§ 1.84(a)(2) and 1.84(b). NOTE: 37 C.F.R. 1.84(a) (2) Color. On rare occasions, color drawings may be necessary as the only practical medium by which to disclose the subject matter sought to be patented in a utility or design patent application or the subject matter of a statutory invention registration. The color drawings must be of sufficient quality such that all details in the drawings are reproducible in black and white in the printed patent. Color drawings are not permitted in international applications (see PCT Rule 11.13), or in an application, or copy thereof, submitted under the Office electronic filing system. The Office will accept color drawings in utility or design patent applications and statutory invention registrations only after granting a petition filed under this paragraph explaining why the color drawings are necessary. Any such petition must include the following: (i) The fee set forth in § 1.17(h); (ii) Three (3) sets of color drawings; (iii) A black and white photocopy that accurately depicts, to the extent possible, the subject matter shown in the color drawing; and (iv) An amendment to the specification to insert (unless the specification contains or has been previously amended to contain) the following language as the first paragraph of the brief description of the drawings: The patent or application file contains at least one drawing executed in color. Copies of this patent or patent application publication with color drawing(s) will be provided by the Office upon request and payment of the necessary fee." formal informal 团 B. Other Papers Enclosed

 $\frac{10}{10}$ Pages of declaration and power of attorney

1_ Pages of abstract

_ Other

4. Addi	tional	papers enclosed
X	Am	endment to claims
	X	Cancel in this applications claims $\frac{14}{16-21}$ before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
	X	Add the claims shown on the attached amendment. (Claims added have been numbered consecutively following the highest numbered original claims.)
X	Pre	liminary Amendment
X		ormation Disclosure Statement (37 C.F.R. § 1.98)
NOTE:	the app	R. § 1.97 (b) An information disclosure statement shall be considered by the Office if filed by plicant within any one of the following time periods:
	appli	fithin three months of the filing date of a national application other than a continued prosecution ication under § 1.53(d);
	inten	Vithin three months of the date of entry of the national stage as set forth in § 1.491 in an national application;
		efore the mailing of a first Office action on the merits; or
WARNIN	37	order to ensure consideration of information previously submitted but which has not been prize in the parent application, an applicant must resubmit the information, complying with 7 C.F.R. § 1.97 and 37 C.F.R. § 1.98, in the continuing application filed under 37 C.F.R. § 1.53(b). 609B(3), M.P.E.P., 7th Edition, Rev. 1.
X	For	m PTO-1449 (PTO/SB/08A and 08B)
	l Ĉiti	ations
	Dec	claration of Biological Deposit
	per	omission of "Sequence Listing," computer readable copy and/or amendment taining thereto for biotechnology invention containing nucleotide and/or ino acid sequence.
. [) Aul tive	thorization of Attomey(s) to Accept and Follow Instructions from Representa-
] Sp	ecial Comments
	Oth	ner
5. Decl	laratio	n or oath (including power of attorney)
NOTE:	A new the pri by all applicate the sign by a straig declarate person execut	ly executed declaration is not required in a continuation or divisional application provided that or nonprovisional application contained a declaration as required, the application being filed is or fewer than all the inventors named in the prior application, there is no new matter in the ation being filed, and a copy of the executed declaration filed in the prior application (showing nature or an indication thereon that it was signed) is submitted. The copy must be accompanied tatement requesting deletion of the names of person(s) who are not inventors of the application filed. If the declaration in the prior application was filed under § 1.47, then a copy of that ation must be filed accompanied by a copy of the decision granting § 1.47 status or, if a nonsigning a under § 1.47 has subsequently joined in a prior application, then a copy of the subsequently ted declaration must be filed. See 37 C.F.R. §§ 1.63(d)(1)–(3).
NOTE:	is direct abbrev country	aration filed to complete an application must be executed, identify the specification to which it cted, identify each inventor by full name including family name and at least one given name, without viation together with any other given name or initial, and the residence, post office address and y or citizenship of each inventor, and state whether the inventor is a sole or joint inventor. 37. § 1.63(a)(1)–(4).
NOTE:	as pres as pres is that this pa	nventorship of a nonprovisional application is that inventorship set forth in the oath or declaration scribed by § 1.62, except as provided for in § 1.53(d)(4) and § 1.63(d). If an oath or declaration scribed by § 1.63 is not filed during the pendency of a nonprovisional application, the inventorship inventorship set forth in the application papers filed pursuant to § 1.53(b), unless a petition under aragraph accompanied by the fee set forth in § 1.17(i) is filed supplying or changing the name nes of the inventor or inventors." 37 C.F.R. § 1.41(a)(1).

(New Application Transmittal [4-1]—page 5 of 15)

X	Enc	losed	(Сору	of:	Decl	aratio	on	from	Sea	rial	No.	09/383
•	Exe	cuted	l by										
				(check	all ap	plicable	box	es)				
	X	inver	ntor(s).							•		4
		legal	repr	esenta	tive o	f inven	tor(s). 37	' C.	F.R. §§	1.42	or 1.4	1 3.	
							ving a pronot be re			terest	on be	half of	inventor
	•		This requir	is the red by	petiti 37 C	on requ S.F.R. §	uired by 1.47 is	37 alsc	C.F.R. attach	§ 1.4 ed. S	7 and ee ite	the st	tatement below for
	Not	Encl	osed.										
tt	ne U.S	S. applie treate	cation d	contains continu	subject ation o	ct matter or continu	in addition	toti art, a	he Interna s the cas	tional i e may	Applicat be, u tili	ion, the zing AD	DED PAGE
							erson aut ed invent			der 3	7 C.F.	R. § 1	.41(c) on
(The d	eclar	ation	or oa	ith, alc	ong w can b	rith the be filed	surcharg subsequ	ge re ienti	equired ly).	by 3	7 C.F.	R. § 1	.16(e)
·.			Show (not i	ing the	at the	e filing ess <i>cal</i>	is author lled into d	izec que:	i. stion. 3	7 C.F	F.R. §	1.41(d))
6. Inven	torsh	ip Sta	ateme	ent							*		
WARNING	OV	the na wnersh ıbmitte	ip of t	ventors he vario	are ea us clai	ch not th ims at th	ne inventors ne time the	s of a last	all the cla claimed	ims an invent	n explan tion wa	ation, in s made,	cluding the should be
The inv	ento	rship	for al	I the c	laims	in this	applicat	ion	are:				
X	The	sam	e.	`	`.								
							or				*	•	
							ncluding t ion was i			hip of	f the v	arious	claims at
		is su	ubmit	ted.									•
		will	be su	ıbmitte	d.		t						
7. Langi	_								,				
A r	ln Eng equire	glish tr ed by 3	anslati 7 C.F.I	on of th	e non- 7(k) is i	English. required	language a	appli	cation an	d the	process	ing fee	an English. of \$130.00 ime as may
X	Eng	glish				•							
	No	n-Eng											
				hed trace.			ludes a s	state	ement t	hat th	ne trar	slation	is accu-

324)

8.	Assign	nment	Board of Trust	tees of	
	X	An assignment of the	invention to Michigan State	<pre>University</pre>	
		238 Administr	ation Building, East I	Lansing, Michigan	48824
			parate ☐ "COVER SHEET FOR A NYING NEW PATENT APPLICATION." hed.		·
		☐ will follow.			
NC			vith a new application, send two separate le lotice of May 4, 1990 (1114 O.G. 77-78).	tters-one for the application	
W	ARNING		ICATE UNDER 37 C.F.R. § 3.73(b)" must b by an assignee. Notice of April 30, 1993,		
	X		ion 🗋 divisional application and	_	
		on 08/26/99	nt application 09/383,324	was filed	
			•	Reel	•
				Frame	
9.	Certifie	ed Copy		Tranic	
		copy(ies) of application	(e)		
•	J. 111100	copylics, or application		•	
	Country		Appln. No.	Filed	• .
	Country		Appln. No.	Filed	
(Country	,	Appln. No.	Filed	
from	which	priority is claimed		•	
		is (are) attached.			•
		will follow.	•	• •	
NO	TE: 37	C.F.R. § 1.55 Claim for foreign	gn priority.		
		(a) * * *			
	; ; ;	during the pendency of the application or sixteen in period is not extendable. The cass well as any foreign application for which pentellectual property authority),	filed under 35 U.S.C. 111(a), the claim for olication, and within the later of four months nonths from the filing date of the prior fon claim must identify the foreign application for tion for the same subject matter and havin nority is claimed, by specifying the application day, month, and year of its filing. The time under 35 U.S.C. 111(a) if the application	s from the actual filing date eign application. This time or which priority is claimed, ag a filing date before that eation number, country (or e periods in this paragraph	
		A) A design application; or	•		
	(B) An application filed before	November 29, 2000.		
	•	* * * *	·		
:	# 1 0 r	niority under 35 U.S.C. 119(varagraph (a) of this section is o 19(a)-(d) or 365(a) is presente daim may be accepted if the cla number, country (or intellectua	ted in accordance with the provisions of this a)-(d) or 365(a) not presented within the considered to have been waived. If a claim to differ the time period provided by paragraim identifying the prior foreign application but property authority), and the day, month, tion to accept a delayed claim for priority to differ.	time period provided by for priority under 35 U.S.C. aph (a) of this section, the by specifying its application and year of its filing was	

(New Application Transmittal [4-1]—page 7 of 15)

- (1) The claim under 35 U.S.C. 119(a)-(d) or 365(a) and this section to the prior foreign application, unless previously submitted;
 - (2) The surcharge set forth in § 1.17(t); and
- (3) A statement that the entire delay between the date the claim was due under paragraph (a)(1) of this section and the date the claim was filed was unintentional. The Commissioner may require additional information where there is a question whether the delay was unintentional."

NOTE: 37 C.F.R. § 1.63 Oath or declaration.

"(a) An oath or declaration filed under § 1.51(b)(2) as a part of a nonprovisional application must:

- (c) Unless such information is supplied on an application data sheet in accordance with § 1.76, the oath or declaration must also identify:
 - (2) Any foreign application for patent (or inventor's certificate) for which a claim for priority is made pursuant to § 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application number, country, day, month, and year of its filing."

The foreign application forming the basis for the claim for priority must be referred to in the oath or declaration. 37 C.F.R. § 1.55(a) and 1.63.

NOTE: This item is for any foreign priority for which the application being filed directly relates. If any parent U.S. application or International Application from which this application claims benefit under 35 U.S.C. § 120 is itself entitled to priority from a prior foreign application, then complete item 18 on the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

10. Fee Calculation (37 C.F.R. § 1.16)

A. X Regular application

		CL	AIMS AS FIL	_ED		·
Number filed		Nu	mber Extra		Rate	Basic Fee 37 C.F.R. § 1.16(a) \$770.00
Total Claims (37 C.F.R. § 1.16(c))	29 –	20 =	. 9	×	\$ 18.00	\$162.00
Independent Claims (37 C.F.R. § 1.16(b))	5 –	3 =	2	×	\$ 86.00	\$172.00
Multiple dependent of if any (37 C.F.R. §	• • •		10	+	\$290.00	\$290.00
☐ Amendme☐ Fee for ex	nt deletin tra claim	ng multip s is not	being paid	ncies at thi	is enclosed is time.	I. ms cancelled by amendmer

Filing Fee Calculation

notice of fee deficiency. 37 C.F.R. § 1.16(d).

\$ 1,394.00

В.	L	(\$340.00—37 C.F.R. § 1.16(f))	•
		Filing Fee Calculation	\$
C.		Plant application (\$530.00—37 C.F.R. § 1.16(g))	
		Filing fee calculation	\$

11. Assertion of Small Entity Status

Applicant hereby asserts status as a small entity under 37 C.F.R. § 1.27

NOTE: 37 C.F.R. § 1.27(c) deals with the assertion of small entity status, whether by a written specific declaration thereof or by payment as a small entity of the basic filing fee or the fee for the entry into the national phase and states:

- "(c) Assertion of small entity status. Any party (person, small business concern or nonprofit organization) should make a determination, pursuant to paragraph (f) of this section, of entitlement to be accorded small entity status based on the definitions set forth in paragraph (a) of this section, and must, in order to establish small entity status for the purpose of paying small entity fees, actually make an assertion of entitlement to small entity status, in the manner set forth in paragraphs (c)(1) or (c)(3) of this section, in the application or patent in which such small entity fees are to be paid.
 - (1) Assertion by writing. Small entity status may be established by a written assertion of entitlement to small entity status. A written assertion must:
 - (i) Be clearly identifiable;
 - (ii) Be signed (see paragraph (c)(2) of this section); and
 - (iii) Convey the concept of entitlement to small entity status, such as by stating that applicant is a small entity, or that small entity status is entitled to be asserted for the application or patent. While no specific words or wording are required to assert small entity status, the intent to assert small entity status must be clearly indicated in order to comply with the assertion requirement.
 - (2) Parties who can sign and file the written assertion. The written assertion can be signed by:
 - (i) One of the parties identified in § 1.33(b) (e.g., an attorney or agent registered with the Office), § 3.73(b) of this chapter notwithstanding, who can also file the written assertion;
 - (ii) At least one of the individuals identified as an inventor (even though a § 1.63 executed oath or declaration has not been submitted), notwithstanding § 1.33(b)(4), who can also file the written assertion pursuant to the exception under § 1.33(b) of this part; or
 - (iii) An assignee of an undivided part interest, notwithstanding §§ 1.33(b)(3) and 3.73(b) of this chapter, but the partial assignee cannot file the assertion without resort to a party identified under § 1.33(b) of this part.
 - (3) Assertion by payment of the small entity basic filing or basic national fee. The payment, by any party, of the exact amount of one of the small entity basic filing fees set forth in §§ 1.16(a), (f), (g), (h), or (k), or one of the small entity basic national fees set forth in §§ 1.492(a)(1), (a)(2), (a)(3), (a)(4), or (a)(5), will be treated as a written assertion of entitlement to small entity status even if the type of basic filing or basic national fee is inadvertently selected in error.
 - (f) If the Office accords small entity status based on payment of a small entity basic filing or basic national fee under paragraph (c)(3) of this section that is not applicable to that application, any balance of the small entity fee that is applicable to that application will be due along with the appropriate surcharge set forth in § 1.16(e), or § 1.16(f).
 - (ii) The payment of any small entity fee other than those set forth in paragraph (c)(3) of this section (whether in the exact fee amount or not) will not be treated as a written assertion of entitlement to small entity status and will not be sufficient to establish small entity status in an application or a patent."

(New Application Transmittal [4-1]-page 9 of 15)

WARNING: 37 C.F.R. § 1.27(c)(4): "Assertion required in related, continuing, and reissue applications. Status as a small entity must be specifically established by an assertion in each related, continuing and reissue application in which status is appropriate and desired. Status as a small entity in one application or patent does not affect the status of any other application or patent, regardless of the relationship of the applications or patents. The refiling of an application under § 1.53 as a continuation, divisional, or continuation-in-part application (including a continued prosecution application under § 1.53(d)), or the filing of a reissue application, requires a new assertion as to continued entitlement to small entity status for the continuing or reissue application." WARNING: "Small entity status must not be established when the person or persons signing the . . . statement can unequivocally make the required self-certification." M.P.E.P., § 509.03 (emphasis added). (complete the following, if applicable) Status as a small entity was asserted in the prior application filed on . , from which benefit is being claimed for this application under: 35 U.S.C. § 🔲 119(e) 120 121 365(c) and which status as a small entity is still proper and asserted for this application. A copy of the written assertion of small entity filed in the prior application is included. NOTE: A refund based on establishment of small entity status, of a portion of fees timely paid in full prior to establishing status as a small entity may only be obtained if an assertion under § 1.27(c) and a request for a refund of the excess amount are filed within three months of the date of the timely payment of the full fee. The three-month time period is not extendable under § 1.136. 37 C.F.R. § 1.28(a). Filing Fee Calculation (50% of A, B or C above) Request for International-Type Search (37 C.F.R. § 1.104(d)) (complete, if applicable) Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

	,	ment being made at this time	
	No	t Enclosed	
		No filing fee is to be paid at this time. (This and the surcharge required by 37 C.F.R. § subsequently.)	1.16(e) can be paid
Ø	End	closed	•
	X	Filing fee	\$ <u>1394.0</u> 0
		Recording assignment (\$40.00; 37 C.F.R. § 1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW APPLICATION".)	
		Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached (\$130.00; 37 C.F.R. §§ 1.47 and 1.17(i))	\$
·		For processing an application with a specification in a non-English language (\$130.00; 37 C.F.R. §§ 1.52(d) and 1.17(i))	\$
		Processing and retention fee (\$130.00; 37 C.F.R. §§ 1.53(d) and 1.21(l))	\$
		Fee for international-type search report (\$40.00; 37 C.F.R. § 1.21(e))	\$
fa 3 e	ailing to 7 C.F. ither t	R. § 1.21(I) establishes a fee for processing and retaining any applicat to complete the application pursuant to 37 C.F.R. § 1.53(f) and this, a.R. §§ 1.53 and 1.78(a)(1), indicate that in order to obtain the benefit of the basic filing fee must be paid, or the processing and retention fee of 1 year from notification under § 53(f).	as well as the changes to fa prior U.S. application,
-		Total fees enclosed	1394.00
4. Met	hod	of Payment of Fees	
X	Atta	ached is a 🛛 check 🔲 money order in the amount of \$	1394.00
	Aut	horization is hereby made to charge the amount of \$_	
		to Deposit Account No	
	, 	to Credit card as shown on the attached credit card in tion form PTO-2038.	formation authoriza-
WARNING	a: Cr	redit card information should not be included on this form as it may	become public.
IX		arge any additional fees required by this paper or cred he manner authorized above. to Deposit Acco	
٠.		A duplicate of this paper is attached.	

15. Authorization to Charge Additional Fees

WARNING: If no fees are to be paid on filing, the following items should not be completed.

WARNING: Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges, if extra claim charges are authorized.

WARNING: Even though small entity status is accorded where the wrong type of small entity basic filing fee or basic national fee is selected but the exact amount of the fee is paid, applicant still needs to pay the correct small entity amount for the basic filing or basic national fee where selection of the wrong type of fee results in a deficiency. While an accompanying general authorization to charge any additional fees suffices to pay the balance due of the proper small entity basic filing or basic national fee, specific authorizations to charge fees under § 1.17 or extension of time fees do not suffice to pay any balance due of the proper small entity basic filing or basic national fee because they do not actually authorize payment of small entity amounts. Changes To Implement the Patent Business Goals; Final Rule [Fed. Reg.: September 8, 2000, pages 54603-54683, at 54611; OG: October 3, 2000, pages 14-39].

- The Office is hereby authorized to charge, in the manner shown above, the following additional fees that may be required by this paper and during the entire pendency of this application.
 - 37 C.F.R. § 1.16(a), (f) or (g) (filing fees)
 - ☑ 37 C.F.R. § 1.16(b), (c) and (d) (presentation of extra claims)

NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 C.F.R. § 1.16(d)), it might be best not to authorize the PTO to charge additional claim fees, except possibly when dealing with amendments after final action.

- 37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- 37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a)).
- 37 C.F.R. § 1.17 (application processing fees)

NOTE: ". . A written request may be submitted in an application that is an authorization to treat any concurrent or future reply, requiring a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. An authorization to charge all required fees, fees under § 1.17, or all required extension of time fees will be treated as a constructive petition for an extension of time in any concurrent or future reply requiring a petition for an extension of time under this paragraph for its timely submission. Submission of the fee set forth in § 1.17(a) will also be treated as a constructive petition for an extension of time in any concurrent reply requiring a petition for an extension of time under this paragraph for its timely submission." 37 C.F.R. § 1.136(a)(3).

☐ 37 C.F.R. § 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b))

NOTE: Section 1.311(b) provides that an authorization to charge the issue fee (§ 1.18) to a deposit account may be filed in an individual application only after the mailing of the notice of allowance. Accordingly, general authorizations to pay fees and specific authorizations to pay the issue fee that are filed prior to the mailing of a notice of allowance will generally not be treated as requesting payment of the issue fee and will not be given effect to act as a reply to the notice of allowance. Applicant, when paying the issue fee, should submit a new authorization to charge fees, such as by completing box 6b on the current PTOL-85B form. Where no reply to the notice of allowance is received, the application will stand abandoned notwithstanding the presence of general authorizations to pay fees or a specific authorization to pay the issue fee that were submitted prior to mailing of the notice of allowance. Where an attempt is made to pay the issue fee but an incorrect amount is submitted, § 1.311(b)(1), or where the Office's issue fee transmittal form (currently PTOL-85(B)) is completed by applicant and submitted, § 1.311(b)(2), in reply to a notice of allowance, an exception will be made. Such submissions will operate as a request to charge the issue fee to any deposit account identified in a previously filed (i.e., submitted prior to the mailing of the notice of allowance) authorization to charge fees, and will be allowed to act as payment of the correct issue fee. § 1.311(b). See also the change to § 1.26(b). Notice of September 8, 2000, Fed. Reg. 54603-54683, at 54646 and 54647.

NOTE: 37 C.F.R. § 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application . . . prior to paying, or at the time of paying, . . . the issue fee. . . " From the wording of 37 C.F.R. § 1.28(b), (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.

16. Instructions as to Overpayment

NOTE: ". . . Amounts of twenty-five dollars or less will not be returned unless specifically requested within a reasonable time, nor will the payer be notified of such amounts; amounts over twenty-five dollars may be returned by check or, if requested, by credit to a deposit account." 37 C.F.R. § 1.26(a).

X	Credit Account No.	13-0610
	Refund	•

Reg. No. 20,931

Tel. No. (517) 347-4100

Customer No. 21036

SIGNATURE OF PRACTITIONER

Ian C. McLeod

(type or print name of attorney)

2190 Commons Parkway

P.O. Address

Okemos, Michigan 48864

(New Application Transmittal [4-1]—page 13 of 15)

\boxtimes	Incor	poration by reference of added pages
	pı st th	heck the following item if the application in this transmittal claims the benefit or U.S. application(s) (including an international application entering the U.S age as a continuation, divisional or C-I-P application) and complete and attacle ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF U.S. APPLICATION(S) CLAIMED)
	X	Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S Application(s) Claimed
		Number of pages added8
•		Plus Added Pages for Papers Referred to in Item 4 Above
		Number of pages added
		Plus added pages deleting names of inventor(s) named in prior application(s who is/are no longer inventor(s) of the subject matter claimed in this application
		Number of pages added
		Plus "Assignment Cover Letter Accompanying New Application"
		Number of pages added
	State	ment Where No Further Pages Added
		no further pages form a part of this Transmittal, then end this Transmittal with is page and check the following item)
		This transmittal ends with this page.

ADDED PAGE(S) FOR SPECIAL COMMENTS FOR NEW APPLICATION TRANSMITTAL

Added page _____

(Added Page(s) for Special Comments for New Application Transmittal [4-1]—page 15 of 15)

ADDED PAGES FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED

(37 C.F.R. § 1.78)

17. Relate Back

WARNING: If an application claims the benefit of the filing date of an earlier filed application under 35 U.S.C. § 120, 121 or 365(c), the 20-year term of that application will be based upon the filing date of the earliest U.S. application that the application makes reference to under 35 U.S.C. § 120, 121 or 365(c). (35 U.S.C. § 154(a)(2) does not take into account, for the determination of the patent term, any application on which priority is claimed under 35 U.S.C. § 119, 365(a) or 365(b).) For a c-l-p application, applicant should review whether any claim in the patent that will issue is supported by an earlier application and, if not, the applicant should consider canceling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach. See Notice of April 14, 1995, 60 Fed. Reg. 20,195, at 20,205.

(complete the following, if applicable)

Amend the specification by inserting, before the first line following the title, the following sentence:

A. 35 U.S.C. § 119(e)

NOTE: 37 C.F.R. § 1.78(a)(4) and (5):

(4) A nonprovisional application, other than for a design patent, or an international application designating the United States of America may claim an invention disclosed in one or more prior-filed provisional applications. In order for an application to claim the benefit of one or more prior-filed provisional applications, each prior-filed provisional application must name as an inventor at least one inventor named in the later-filed application and disclose the named inventor's invention claimed in at least one claim of the later-filed application in the manner provided by the first paragraph of 35 U.S.C. 112. In addition, each prior-filed provisional application must be entitled to a filing date as set forth in § 1.53(c), and the basic filing fee set forth in § 1.16(k) must be paid within the time period set forth in § 1.53(g).

(5)(i) Any nonprovisional application or international application designating the United States of America claiming the benefit of one or more prior-filed provisional applications must contain or be amended to contain a reference to each such prior-filed provisional application, identifying it by the provisional application number (consisting of series code and serial number).

- (ii) This reference must be submitted during the pendency of the later-filed application. If the later-filed application is an application filed under 35 U.S.C. 111(a), this reference must also be submitted within the later of four months from the actual filing date of the later-filed application or sixteen months from the filing date of the prior-filed provisional application. If the later-filed application is a nonprovisional application which entered the national stage from an international application after compliance with 35 U.S.C. 371, this reference must also be submitted within the later of four months from the date on which the national stage commenced under 35 U.S.C. 371(b) or (f) in the later-filed international application or sixteen months from the filing date of the prior-filed provisional application. These time periods are not extendable. Except as provided in paragraph (a)(6) of this section, the failure to timely submit the reference is considered a waiver of any benefit under 35 U.S.C. 119(e) to such prior-filed provisional application. The time periods in this paragraph do not apply if the later-filed application is:
 - (A) An application filed under 35 U.S.C. 111(a) before November 29, 2000; or
 - (B) A nonprovisional application which entered the national stage after compliance with 35 U.S.C. 371 from an international application filed under 35 U.S.C. 363 before November 29, 2000.
- (iii) If the later-filed application is a nonprovisional application, the reference required by this paragraph must be included in an application data sheet (§ 1.76), or the specification must contain or be amended to contain such reference in the first sentence following the title."

(Added Pages for Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed [4-1.4] -page 1 of 8)

	"This application claims the benefit of U.	S. Provisional Application(s) No(s).:
	APPLICATION NO(S).:	FILING DATE
	,	
WARNING:	37 C.F.R. § 1.78(5)(iv): "(iv) If the prior-filed provise than English and an English-language translation statement that the translation is accurate were neapplication or the later-filed nonprovisional application of time within which to file an English-language transportation and a statement that the transpolication, failure to timely reply to such a notice	of the prior-filed provisional application and a of previously filed in the prior-filed provisional tion, applicant will be notified and given a period instation of the non-English-language prior-filed instation is accurate. In a pending nonprovisional
	Language of Prior Filed Provis	sional Application
(S	upply information for each provisional wh	ose benefit is being claimed)
The above	identified prior filed provisional application	on whose benefit is being claimed
	was filed in the English language	
	was filed in a language other than English a statement that the translation is accurate	was filed in the provisional application
	was filed in a language other than English a statement that the translation is accura	n and an English translation along with ate is filed herewith
	100 404 and 000(a)	
	U.S.C. Sections 120, 121 and 365(c) : The applicable provisions for the time and manner	of claiming the benefit of a prior U.S. application
WARNING	filing date are set forth in 37 C.F.R. § 1.78(a)(1)	and (2) as follows:
	America may claim an invention disclosed in one applications or international applications designation application to claim the benefit of a prior-filed cope application designating the United States of American an inventor at least one inventor named in the linventor's invention claimed in at least one claim of by the first paragraph of 35 U.S.C. 112. In additional applications of the state	ng the United States of America. In order for an ending nonprovisional application or international vica, each prior-filed application must name as later-filed application and disclose the named the later-filed application in the manner provided on, each prior-filed application must be:
	 (i) An international application entitled to a fill designating the United States of America; or 	ing date in accordance with PCT Article 11 and
•	(ii) Complete as set forth in § 1.51(b); or	
	fee set forth in § 1.16; or	1.53(b) or § 1.53(d) and include the basic filing
	(iv) Entitled to a filing date as set forth in § 1 retention fee set forth in § 1.21(l) within the tin	.53(b) and have paid therein the processing and ne period set forth in § 1.53(f).
(A	Added Pages for Application Transmittal Where Ben	

(2)(i) Except for a continued prosecution application filed under § 1.53(d), any nonprovisional application or international application designating the United States of America claiming the benefit of one or more prior-filed copending nonprovisional applications or international applications designating the United States of America must contain or be amended to contain a reference to each such prior-filed application, identifying it by application number (consisting of the series code and serial number) or international application number and international filing date and indicating the relationship of the applications. Cross references to other related applications may be made when appropriate (see § 1.14).

(ii) This reference must be submitted during the pendency of the later-filed application. If the later-filed application is an application filed under 35 U.S.C. 111(a), this reference must also be submitted within the later of four months from the actual filing date of the later-filed application or sixteen months from the filing date of the prior-filed application. If the later-filed application is a nonprovisional application which entered the national stage from an international application after compliance with 35 U.S.C. 371, this reference must also be submitted within the later of four months from the date on which the national stage commenced under 35 U.S.C. 371(b) or (f) in the later-filed international application or sixteen months from the filing date of the prior-filed application. These time periods are not extendable. Except as provided in paragraph (a)(3) of this section, the failure to timely submit the reference required by 35 U.S.C. 120 and paragraph (a)(2)(i) of this section is considered a waiver of any benefit under 35 U.S.C. 120, 121, or 365(c) to such prior-filed application. The time periods in this paragraph do not apply if the later-filed application is:

- (A) An application for a design patent;
- (B) An application filed under 35 U.S.C. 111(a) before November 29, 2000; or
- (C) A nonprovisional application which entered the national stage after compliance with 35 U.S.C. 371 from an international application filed under 35 U.S.C. 363 before November 29, 2000.
- (iii) If the later-filed application is a nonprovisional application, the reference required by this paragraph must be included in an application data sheet (§ 1.76), or the specification must contain or be amended to contain such reference in the first sentence following the title.
- (iv) The request for a continued prosecution application under § 1.53(d) is the specific reference required by 35 U.S.C. 120 to the prior-filed application. The identification of an application by application number under this section is the identification of every application assigned that application number necessary for a specific reference required by 35 U.S.C. 120 to every such application assigned that application number."

		to every such application assigned that app	plication number."				•	
X	"Thi	s application is a						
f	X	continuation			٠			,
į	J	continuation-in-part	•					
	\supset	divisional	·		•		:	
of cope	end	ing application(s)				~		
[X	application number 09/383,324	filed on 08/26/99	" W	hich	is	a CI	P
(International Applicationwhich designated the U.S."	filed on		of App 09/31 /24/9	1,3	TO	: :
NOTE:		e proper reference to a prior filed PCT applicati rial number and the filing date of the PCT appli	ion that entered the U.S. national phase is	the U.S.	U.S. No.6,	Pa	tent	; 5 20
NOTE:	· (1) Whom the confication being topomitted adds subject matter to the International Aculination them						7/23/	•
	(A	dded Pages for Application Transmittal Where I	Renefit of Prior LLS Application(s) Claimed	1 [4_1 4]			:	-

-page 3 of 8)

×	"The nonpro	ovisional application des	signated above, na	amely application is the benefit of U.S
		pplication(s) No(s).:	, ordinic	
		APPLICATION NO(S).:	FILING DATE	
		60 / 120,178	02/16/99 "	.
	•			
C. Pu	blication of In	ternational Application—I	Provisional Applicat	ion
NOTE: 3	35 U.S.C. 154 Con	tents and term of patent; provision	nal rights.	
	(d)(4) REQUIRE	EMENTS FOR INTERNATIONAL A	NPPLICATIONS—	•
·	the publication the United State a copy of the pu the treaty of the	VE DATE.—The right under paragr under the treaty defined in section es shall commence on the date on ublication under the treaty of the in e international application is in a la Trademark Office receives a transla	351(a) of an international which the Patent and Tra ternational application, or, nguage other than English	application designating demark Office receives if the publication under a on the date on which
The inte	emational appli	cation corresponding to th	e instant application	
	was	-	••	
	was not			
ublished	under PCT Art	ticle 21(2) in the English la	nguage.	
		anslation of the internationa	• •	ched
8. Rela		J.S.C. § 119 Priority Clain		
		aim for foreign priority.		
	"(a) An applicant	in a nonprovisional application m applications under the condition	ay claim the benefit of the specified in 35 U.S.C.	e filing date of one or 119(a) through (d) and
	during the pend date of the appl time period is no claimed, as well before that of the country (or intell	al application filed under 35 U.S.C lency of the application, and with lication or sixteen months from th ot extendable. The claim must ide I as any foreign application for th ne application for which priority is ectual property authority), day, mo not apply to an application for a	in the later of four months e filing date of the prior fo entify the foreign application e same subject matter and claimed, by specifying the enth, and year of its filing.	s from the actual filing preign application This on for which priority is nd having a filing date to application number
	compliance wi	lication that entered the national ith 35 U.S.C. 371, the claim for pr d within the time limit set forth in	iority must be made durine	a the pendency of the
	(2) The claim for 119(b) or PCT R priority or the ce it must be accon	r priority and the certified copy of fule 17 must, in any event, be file ertified copy of the foreign applican appanied by the processing fee set unless corrected by a certificate of	f the foreign application s of before the patent is gra tion is filed after the date forth in § 1.17(), but the p	specified in 35 U.S.C. anted. If the claim for the issue fee is paid, patent will not include

The prior U.S. application(s), including any prior International Application designating the U.S., identified above in item 17B, in turn itself claim(s) foreign priority(ies) as follows:

Co	untr	Appln. No.	, Filed	
The certified copy(ies) has (have)				
		been filed on, in prior application 0 / which was filed on		
[is (are) attached.		
WARN		the International Bureau may not be relied on without any need to file a certified application in the continuing application. This is so because the certified application communicated by the International Bureau is placed in a folder a U.S. serial number unless the national stage is entered. Such folders are dispostage is not entered. Therefore, such certified copies may not be available in prosecution of a continuing application. An alternative would be to physically documents from the folders and transfer them to the continuing application. The to request transfer, retrieve the folders, make suitable record notations, transfer enter and make a record of such copies in the Continuing Application are substitute priority documents in folders of international applications that have not stage may not be relied on. Notice of April 28, 1987 (1079 O.G. 32 to 46).	d copy of the priority copy of the priority and is not assigned used of if the national f needed later in the vernove the priority e resources required the certified copies, stantial Accordingly.	
19. M		enance of Copendency of Prior Application	•	
NOTE:	162	e PTO finds it useful if a copy of the petition filed in the prior application extended in the prior application extended is filed with the papers constituting the filing of the continuation appreciately. June 1985 (1985) (1986) O.G. 27).	ending the term for plication. Notice of	
A. []	Extension of time in prior application		
(This	itei	m must be completed and the papers filed in the prior application has run.)	ation , if the	
) ,	A petition, fee and response extends the term in the pending puntil	rior application	
] /	A copy of the petition filed in prior application is attached.		
В. [] (Conditional Petition for Extension of Time in Prior Application		
		(complete this item, if previous item not applicable)		
	[A conditional petition for extension of time is being filed in the application.	e pending prior	
	[A copy of the conditional petition filed in the prior applicati	on is attached.	

(Added Pages for Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed [4-1.4] —page 5 of 8)

Further Inventorship Statement Where Benefit of Prior Application(s) Claimed (complete applicable item (a), (b) and/or (c) below) M This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are X the same. less than those named in the prior application. It is requested that the following inventor(s) identified for the prior application be deleted: (type name(s) of inventor(s) to be deleted) This application discloses and claims additional disclosure by amendment and a new declaration or oath is being filed. With respect to the prior application, the inventor(s) in this application are ☐ the same. the following additional inventor(s) have been added: (type name(s) of inventor(s) to be deleted) (c) 🗵 The inventorship for all the claims in this application are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made is submitted. □ will be submitted. 21. Abandonment of Prior Application (if applicable) Please abandon the prior application at a time while the prior application is pending, or when the petition for extension of time or to revive in that application is granted, and when this application is granted a filing date, so as to make this application copending with said prior application. NOTE: According to the Notice of May 13, 1983 (103, TMOG 6-7), the filing of a continuation or continuation-inpart application is a proper response with respect to a petition for extension of time or a petition to revive and should include the express abandonment of the prior application conditioned upon the granting of the petition and the granting of a filing date to the continuing application. 22. Petition for Suspension of Prosecution for the Time Necessary to File an **Amendment** WARNING: "The claims of a new application may be finally rejected in the first Office action in those situations where (A) the new application is a continuing application of, or a substitute for, an earlier application, and (B) all the claims of the new application (1) are drawn to the same invention claimed in the earlier application, and (2) would have been properly finally rejected on the grounds of art of record in the next Office action if they had been entered in the earlier application." M.P.E.P. § 706.07(b), 7th ed. NOTE: Where it is possible that the claims on file will give rise to a first action final for this continuation application and for some reason an amendment cannot be filed promptly (e.g., experimental data is being gathered) it may be desirable to file a petition for suspension of prosecution for the time necessary. (check the next item, if applicable) ☐ There is provided herewith a Petition To Suspend Prosecution for the Time Necessary to File An Amendment (New Application Filed Concurrently) (Added Pages for Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed [4-1.4] -page 6 of 8)

23. Smail	Entity (37 C.F.R. § 1.28(a))
	Applicant has established small entity status by the filing of a statement in parent application
	A copy of the statement previously filed is included.
WARNING:	See 37 C.F.R. § 1.28(a).
WARNING:	"Small entity status must not be established when the person or persons signing the statement can unequivocally make the required self-certification." M.P.E.P. § 509.03, 7th ed. (emphasis added).
24. NOTIF	TCATION IN PARENT APPLICATION OF THIS FILING
	A notification of the filing of this
	(check one of the following)
[☐ continuation
	Continuation-in-part
] divisional
is being filed U.S.C. § 12	in the parent application, from which this application claims priority under 35 0.

(Added Pages for Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed [4-1.4] —page 7 of 8)

ADDED PAGE(S) FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED

Added page _____